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ABSTRACT

This directory to grant aid for public libraries in New York State has been prepared for use and distribution by the New York State Subcommittee on Libraries. It lists possible funding sources in three categories: Federal library sources, other Federal sources, and private sources. Information on eligibility, purpose, type, amount, and address is provided for each source. An additional section lists groups that, although not specifically library oriented, may give assistance for special projects, e.g., building modifications for the handicapped. This is not a comprehensive listing of funding sources; there are other federal and private sources which were not listed in the reference sources consulted for the compilation of this directory. A bibliography of reference sources, articles, books, and reports on public library finance is appended. (Author/JPF)

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DIRECTORY OF FEDERAL GRANT SOURCES
and SOURCES OF PRIVATE FUNDS
GIVEN TO PUBLIC LIBRARIES IN NEW YORK STATE

Prepared By:

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January 1978

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TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC) AND USERS OF THE ERIC SYSTEM."

IR006 113

This directory to grant aid for public libraries in New York State has been prepared for use and distribution by the New York State Subcommittee on Libraries. The most helpful source for researching public library aid has been the article "Grants and How to Get Them: An Update" by Sara Case in American Libraries, November 1977. We have included federal and private sources relating to public libraries from this article. Entries for these are preceded by an asterisk to indicate they have been copied. Entries are presented in the same format as those in the Case article. We refer the reader to this article as well as Public Library Finance by Ann E. Prentice (Chicago: American Library Association, 1977) as excellent sources on funding information.

Our search for possible grant aid sources was accomplished through consulting the Annual Register of Grant Support, Foundation Grants Index, Foundation Directory, Bowker Annual of Library and Book Trade Information 1977, and the Catalog of Federal Domestic Assistance as well as the Case article. In addition, we searched the GRANTS data base available through the ORBIT retrieval system.

Federal sources were chosen from the Case article and Catalog of Federal Domestic Assistance. Private sources were included if the reference sources indicated they had given money to a public library in New York State. A majority of the foundations listed contributed to the New York Public Library.

This directory lists possible funding sources in three categories: Federal Library Sources, Other Federal Sources and Private Sources. Information on eligibility, purpose, type, amount and address is

provided for entries in these categories. An additional section lists groups not specifically library-oriented but which may give assistance for special projects -- ie, building modifications for the handicapped or special programs for the blind. These must be contacted for information, and addresses are provided. A bibliography of reference sources, articles, books and reports on public library finance is also included.

This directory is not comprehensive. There are other federal and private sources which are potential contributors but which are not listed in the reference works consulted as giving to New York State public libraries. Those organizations who have given to libraries in other states and to other types of libraries could be contacted.

We hope that this basic source guide can be supplemented regularly through searches of the reference works and grant-related computer data bases to make the most current information available to public libraries.

We would like to thank Professor Pauline Atherton and Pat Mautino for their assistance in compiling this directory.

Kathleen Parsons
Stephen G. Marvin

I. FEDERAL LIBRARY SOURCES

1. *Interlibrary Cooperation: Library Services and Construction Act, Title III.

   Eligible: all types of libraries.
   Purpose: to develop and improve intertype interlibrary cooperation.
   Type: grants to the states.
   Amount: $3,337,000 for FY 78.
   Write: Bureau of Regional Library Services, State Education Dept., State Education Building, Albany, New York 12224.

2. *Library Services and Construction Act (LSCA).

   Eligible: public libraries.
   Purpose: Title I provides a variety of services; Title II authorizes funds for construction.
   Type: grants to states. The state library agency administers the program according to a state plan.
   Amount: Title I, $56.9 million in FY 78. Title II, zero.
   Write: Bureau of Regional Library Services, State Education Department, State Education Building, Albany, New York 12224.

3. Office of Education -- Libraries and Learning Resources.

   Eligible: all libraries.
   Purpose: to improve libraries.
   Type: grants for library research and demonstration projects.
   Amount: $52,600 in FY 76, decided annually.
   Write: Office of Libraries and Learning Resources, Division of Library Programs, U.S. Office of Education, Washington, D.C. 20202.

4. *Research/Demonstration in Library and Information Science: Higher Education Act, Title II-B.

   Eligible: library organizations, educational institutions, and other public and nonprofit agencies.
   Purpose: to stimulate innovative projects to improve services.
   Type: Office of Education grants to institutions.
   Amount: $1 million for FY 78.
   Write: Office of Libraries and Learning Resources, Office of Education, ROB # 3, Washington, D.C. 20202.

*from Case, Sara, "Grants and How to Get Them: An Update," American Libraries, November 1977, p.556.

II. OTHER FEDERAL SOURCES

1. *Adult Education: Adult Education Act, PL 91-230.

   Eligible: local educational agencies, public and private nonprofit agencies.
   Purpose: to provide adult education and adult basic education to individuals 16 or over. Adult education means services for adults who have not graduated from high schools; adult basic education means education for adults whose inability to speak, read, or write English impairs their ability to retain jobs commensurate with their talents.
   Type: grants to states, spent according to state plan.
   Amount: $80.5 million in FY 78.
   Write: Division of Adult Education Programs, State Education Dept., State Education Building, Albany, NY 12224. Also: Division of Adult Education, Bureau of Occupational and Adult Education, HEW, Washington, D.C. 20202. (See Federal Register, April 23, 1975, pp.17950-60).

2. *Aging--State and Community Programs: Older Americans Act of 1965, Title III.

   Eligible: state and local community projects to make existing social services more accessible to older persons, through development and support of such programs as transportation, outreach, information and referral, and escort. Social services include transport to and from community facilities. Continuing education includes consumer education and vocational and personal enrichment programs.
   Purpose: to help states and communities develop greater capacity to serve older people.
   Amount: $151 million in FY 77.
   Write: Office for the Aging, 855 Central Avenue, Albany, New York 12206. Also write the Commissioner on Aging, Administration on Aging, Office of Human Development, HEW, Washington, D.C. 20201.

3. *Aging--Training: Older Americans Act of 1965, Title IV-A.

   Eligible: State aging agencies, state or local education agencies, institutions of higher education, and other public or nonprofit private agencies.
   Purpose: to improve the quality of service and help meet shortages of adequately trained people for programs in the field of aging, with emphasis on multidisciplinary approach.
   Type: grants to institutions.
   Amount: $14.2 million in FY 77.
   Write: Division of Manpower, Administration on Aging, HEW, 330 Independence Ave. S.W., Washington, D.C. 20201.

*from Case, Sara, "Grants and How to Get Them: An Update," American Libraries, November 1977, p.556.

4. *Arts and Humanities: National Foundation of the Arts and Humanities Act.

Eligible: all types of libraries.
Purpose: to support projects of research, education, and public activity in the arts and humanities.
Type: grants and matching grants.
Amount: $87.8 million for the Humanities, $89.1 million for Arts in FY 78.
Write: NEH, Washington, D.C. 20506; NEA, Washington, D.C. 20506.

5. *Comprehensive Employment and Training Act of 1973, PL 93-203 (CETA).

Eligible: state, local, and community agencies and groups.
Purpose: to provide employment and training for unemployed, underemployed, and economically disadvantaged persons, and emergency public employment during periods of severe unemployment.
Type: funds to states and localities through a special revenue-sharing program.
Amount: $4 billion in FY 78.
Write: Manpower Resources Commission, Building 12, State Campus, Albany, NY 12240 to learn who administers CETA funds in your community. A Guide to Seeking Funds From CETA: A Booklet to Assist Individuals and Organizations to Learn How to Apply for CETA Monies, published by the U.S. Department of Labor, Employment Standards Division, Women's Bureau, was revised in 1977 and can be requested from GPO, Stock No. 029-016-00049-6.

6. *Environmental Education: PL 91-516.

Eligible: institutions of higher education, state and local education agencies, libraries, museums.
Purpose: to educate people in environmental principles and problems.
Type: grants for general projects, including small (up to $10,000) grants for workshops.
Amount: $3.5 million for FY 78.
Write: Office of Environmental Education, OE, 400 Maryland Ave. S.W. Washington, D.C. 20202.

7. *Historical Records Program: National Historical Publications and Records Commission.

Eligible: nonprofit organizations and institutions, state and local government agencies.
Purpose: to preserve and make available records that further understanding and appreciation of American history.
Type: grants and matching grants.
Write: National Historical Publications and Records Commission, National Archives and Records Service, General Services Administration, National Archives Bldg., Washington, D.C. 20408.

*from Case, Sara, "Grants and How to Get Them: An Update," American Libraries, November 1977, p. 557.

8. *Metric Education: Elementary and Secondary Education Act, Sec. 402, Special Projects Act.

Eligible: state and local educational agencies, institutions of higher learning, other public and private nonprofit institutions.
Purpose: to prepare students to use the metric system.
Amount: $2,090,000 for FY 78.
Write: Metric Education Program, Bureau of Occupational & Adult Education, OE, 7th & D Sts. S.W., Washington, D.C. 20202.

9. *Reading Academy Program: National Reading Development Program, Title VII of PL 93-380.

Eligible: state and local educational agencies, institutions of higher education, community organizations, and non-profit groups.
Purpose: to furnish reading assistance to youths and adults not reached by other programs.
Type: grants to agencies and institutions.
Amount: $4.8 million for FY 77.
Write: National Right to Read Office, OE, 400 Maryland Ave. S.W., Washington, D.C. 20202. (For rules and regulations on a variety of reading programs see Federal Register, vol. 41, no. 103, May 26, 1976, pp. 21453-21475.)

10. *Telecommunications Demonstrations: Educational Broadcasting Facilities and Telecommunications Demonstration Act of 1976, PL 94-309.

Eligible: public and private non-profit agencies, organizations, and institutions.
Purpose: to promote development of nonbroadcast telecommunications facilities and services for the transmission, distribution, and delivery of health, education, and social service information.
Type: grants to agencies and organizations.
Amount: $1 million in FY 78.
Write: Office of Telecommunications Policy, HEW, Room 409 E., South Portal Bldg., 200 Independence Ave. S.W., Washington 20201.

11. *Women's Educational Equity: Education Amendments of 1974, Sec. 408, PL 93-380.

Eligible: state and local governments, public and private nonprofit organizations.
Purpose: to provide educational equity for women.
Type: general grants and small grants (up to $5,000) to support innovative approaches.

*from Case, Sara, "Grants and How to Get Them: An Update," American Libraries, November 1977, pp. 557-558.

Amount: $8,085,000 for FY 78.
Write: Women's Program Staff, OE, HEW, Room 2145, 400 Maryland Ave. S.W., Washington 20202.

III. PRIVATE SOURCES

1. Abelard Foundation, Inc.

Eligible: libraries.
Purpose: to support programs designed to achieve social, political, and economic equality for urban and rural poor.
Type: grants to libraries and other nonprofit organizations largely in New York State. None for school, building or endowment funds.
Amount: $154,019 in FY 76.
Write: Executive Director, Abelard Foundation, One E. 53rd St., 10th Floor, New York, New York 10022.

2. Astor (Vincent) Foundation.

Eligible: institutions and projects in the New York City area.
Purpose: to support cultural, institutional, community-based programs especially involving and affecting children and older people.
Type: grants to programs and institutions. None to individuals, building funds or operating budgets. Small grants generally discouraged.
Amount: $6,403,735 for FY 75.
Write: Director, Vincent Astor Foundation, 405 Park Ave., New York, New York 10022.

3. Baker (George F.) Trust.

Eligible: welfare and youth agencies in New York City.
Purpose: broad purposes.
Type: grants, none to individuals.
Amount: $632,055 in FY 75.
Write: Executive Secretary, George F. Baker Trust, 20 Exchange Place, New York, New York 10005.

4. Bodman Foundation.

Eligible: social agencies; programs for minority education, the elderly, and the handicapped; local cultural and educational institutions primarily in New York City.
Purpose: broad purposes.
Type: grants, none to individuals.
Amount: $449,000 in FY 75.
Write: Secretary, Bodman Foundation, c/o Morris and McVeigh, 450 Park Ave., New York, New York 10022.

5. Calder (Louis) Foundation.

Eligible: organizations and projects.
Purpose: to support those programs deemed best to promote health, education, and welfare, preferably in or beneficial to New York City people.
Type: grants, none for building, endowment, capital development or individuals.
Amount: $2,360,000 in FY 75.
Write: Louis Calder Foundation, Ten Rockefeller Plaza Room 601, New York, New York 10020. Deadline is March 3rd.

6. Clark (Robert Sterling) Foundation.

Eligible: private institutions, New York community, cultural and educational organizations.
Purpose: to examine the family in a changing society by strengthening institutions.
Type: grants, none to individuals, building or endowment funds.
Amount: $1,248,760 in FY 76.
Write: Executive Director, Robert Sterling Clark Foundation, 100 Wall Street, 9th Floor, New York, New York 10005.

7. Corning Glass Works Foundation.

Eligible: libraries and museums, particularly in communities near Corning, New York.
Purpose: to support educational, civic and human services, and cultural programs.
Type: grants.
Amount: $1,218,207 in FY 76.
Write: Executive Director, Corning Glass Works Foundation, Corning, New York 14830.

8. *Council on Library Resources.

Eligible: academic and research libraries, midcareer librarians, and library managers.
Purpose: to aid in solving the problems of libraries, research libraries, in particular.
Type: grants to libraries and librarians.
Amount: $1.5 million in FY 77.
Write: Council on Library Resources, 1 Dupont Circle, Washington 20036.

*from Case, Sara, "Grants and How to Get Them: An Update," American Libraries, November 1977, p.558.

9. Culpeper (Charles E.) Foundation, Inc.

Eligible: children's, ecology, aged, physically handicapped, humanities and American Indian programs.
Purpose: to support above programs.
Type: grants, none to individuals, building, endowment or operating budgets.
Amount: $874,000 in FY 75.
Write: (for policy statement and application guidelines) President, Charles E. Culpeper Foundation, Inc., 866 UN Plaza Room 408, New York, New York 10017. Apply February through September.

10. Dodge (Cleveland H.) Foundation.

Eligible: primarily New York City organizations.
Purpose: to promote the well-being of mankind throughout the world.
Type: grants, none to individuals or operating budgets.
Amount: $612,000 in FY 75.
Write: Administrative Director, Cleveland H. Dodge Foundation, 641 Lexington Avenue, New York, New York 10022. Deadline mid-winter or autumn.

11. Dula (Caleb C. and Julia W.) Educational and Charitable Foundation.

Eligible: charities and libraries.
Type: grants.
Write: Caleb C. and Julia W. Educational and Charitable Foundation, c/o Manufacturers Hanover Trust Company, 600 5th Ave., New York, New York 10020.

12. Emerson (Fred L.) Foundation, Inc.

Eligible: organizations local to Auburn, New York.
Type: grants for library building funds and other purposes, none to individuals.
Amount: $1,668,904 in FY 75.
Write: (initial application -- full proposal) President, Fred L. Emerson Foundation, Inc., Four South St., Auburn, New York 13021.

13. Fleischmann (Max C.) Foundation.

Eligible: U.S. tax-exempt and nonprofit organizations.
Purpose: to support programs for the benefit of mankind.
Type: grants to libraries and community improvement programs.
Amount: $1,000 to $600,000.
Write: Chairman, Max C. Fleischmann Foundation, P.O.Box 1871, Reno, Nevada 89501 to state purpose.

14. Ford Foundation.

Eligible: all institutions.
Purpose: to advance public welfare by trying to identify and contribute to the solution of problems of national and international importance.
Type: grants for experimental, demonstration and developmental efforts likely to produce significant advances.
Amount: $150,270,000 in FY 76.
Write: Secretary, Ford Foundation, 320 E. 43rd St., New York, NY 10017.

15. Gebbie Foundation, Inc.

Eligible: libraries, primarily those in Chautauqua County and secondly those in Western New York State.
Type: grants, none to individuals.
Amount: $1,047,229 in FY 75.
Write: President, Gebbie Foundation, Hotel Jamestown Building, Room 308, Jamestown, New York 14701.

16. Hayden (Charles) Foundation.

Eligible: organizations.
Purpose: to assist young people, emphasis on helping provide physical facilities for organizations primarily concerned with New York City youth.
Type: grants, none to individuals, endowment funds, or operatig budgets.
Amount: $2,571,619 in FY 75.
Write: President, Charles Hayden Foundation, 1 Bankers Trust Plaza, 130 Liberty St., New York, New York 10006.

17. Interlake Foundation.

Eligible: libraries.
Purpose: to support libraries.
Type: grants.
Amount: $179,570 in FY 74.
Write: President, Interlake Foundation, 310 S. Michigan Ave., Chicago, Illinois 60604.

18. Kaplan (J.M.) Fund, Inc.

Eligible: programs in New York City dealing with public information.
Purpose: to improve the quality of New York City life.
Amount: $600,000 in FY 75.
Write: J.M. Kaplan Fund, 2 E. 34th St., New York, New York 10016. (one-page letter describing project, budget, time and relevant data, apply September through May.)

19. Morgon Guaranty Trust Company of New York Foundation.

Eligible: New York City institutions engaged in community, hospital, charitable, educational, scientific or civic activities.
Purpose: to meet philanthropic obligations of the Trust Company.
Type: grants, none to individuals, religious organizations or organizations outside the U.S.
Amount: $533,870 in FY 75.
Write: Vice President, Morgon Guaranty Trust Company of New York Foundation, 23 Wall St., New York, New York 10015. Initial application by full proposal.

20. Moses (Henry and Lucy) Fund Inc.

Eligible: primarily New York City youth agencies, organizations dealing with race relations or the handicapped.
Purpose: broad purposes.
Amount: $517,400 in FY 75.
Write: Treasurer, Henry and Lucy Moses Fund, c/o Moses and Singer, 51 W. 51st St., New York, New York 10019.

21. National Home Library Foundation.

Eligible: tax-exempt groups.
Purpose: to assist in distribution of materials to community groups who would not otherwise have access.
Type: grants.
Amount: $5,000 to 25,000.
Write: National Home Library Foundation, Suite 700, 1920 L St. N.W., Washington, D.C. 20036.

22. New York Community Trust Inc.

Eligible: priority given to projects having significance for New York City.
Type: grants, none to individuals, building or endowment funds.
Amount: $13,356 in FY 75.
Write: Director, New York Community Trust Inc., 415 Madison Ave., New York, New York 10017. Initial approach by full proposal, write for guidelines.

23. O'Connor (A. Lindsay and Olive B.) Foundation.

Eligible: primarily libraries in rural upstate New York counties and Delaware.
Type: grants, none to individuals or operating budgets.
Amount: $855,526 in FY 75.
Write: Mrs. Olive B. Price, A. Lindsay and Olive B. O'Connor Foundation, Hobart, New York 13788. Deadlines May 1 and Sept. 1.

24. Pforzheimer (The Carl and Lily) Foundation, Inc.

Eligible: libraries and educational institutions.
Purpose: to cooperate with established libraries and educational institutions in connection with the Carl H. Pforzheimer Library.
Type: grants, none to individuals, building or operating budgets.
Amount: $68,425 in FY 75.
Write: President, The Carl and Lily Pforzheimer Foundation, 70 Pine St., Room 3030, New York, New York 10005. Proposals are accepted March, May, September and November.

25. Rubinstein (Helena) Foundation.

Eligible: educational and community services especially which focus on the rights and welfare of women and children.
Type: grants, none to individuals, building or endowment funds.
Amount: $2,003,632 in FY 76.
Write: Executive Secretary, Helena Rubinstein Foundation, 261 Madison Ave., New York, New York 10016.

26. Scherman Foundation Inc.

Eligible: organizations supporting community welfare.
Type: grants, none to individuals.
Amount: $764,856.in FY 75.
Write: President, Scherman Foundation, 280 Park Ave., New York, NY 10017.

27. Sprague (The Seth) Educational and Charitable Foundation.

Eligible: libraries in New York City.
Type: grants.
Amount: $1,003,500 in FY 75.
Write: The Seth Sprague Educational and Charitable Foundation, c/o U.S. Trust Company of New York, 45 Wall St., New York, NY 10005.

28. Watertown Foundation Inc.

Eligible: Charitable, educational, cultural, recreational and health programs in Watertown and Jefferson Counties.
Type: grants, none to endowment funds or operating budgets.
Amount: $114,565 in FY 76.
Write: Executive Secretary, Watertown Foundation, 600 Woolworth Building, Watertown, New York 13601.

29. Western New York Foundation.

Eligible: nonprofit institutions within the counties of Erie, Niagara, Genesee, Wyoming, Alleghany, Cattaraugus and Chautauqua.
Purpose: emphasis on providing for capital needs of new projects or expanding services (primarily in performing arts, youth and social agencies).
Type: grants, none to individuals, endowment funds or operating budgets.
Amount: $83,701 in FY 76.
Write: President, Western New York Foundation, Main Place Towers, Suite 2300, Buffalo, New York 14202.

IV. OTHER ORGANIZATIONS

These organizations may give assistance in their subject scope, but must be contacted for information.

1. Bilingual Vocational Instruction.

   (Materials) Office of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.

2. Books for the Blind and Physically Handicapped.

   Division for the Blind and Physically Handicapped, Library of Congress, 1291 Taylor St. N.W., Washington, D.C. 20542.

3. Educationally Deprived Children -- Handicapped, Migrants. Handicapped -- Media Services and Captioned Films -- Preschool Programs, Regional Resource Centers, Innovative Programs, Deaf-Blind Centers, Research and Demonstration.

   Office for Handicapped Individuals, Office of Human Development, 330 Independence Ave. S.W., Washington, D.C. 20201.

4. Educational Research and Development.

   National Institute of Education, 1200 Nineteenth St., N.W., Washington, D.C. 20208.

5. Energy Information Services, Films, Publications, Speakers.

   Office of Public Affairs, Energy Research and Development Administration Headquarters, Washington, D.C. 20545.

6. Juvenile Justice and Delinquency Prevention Programs.

   Office of Public Information, Law Enforcement Assistance Administration, 633 Indiana Ave., N.W., Washington, D.C. 20531.

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